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Lisa A. Brzycki

7/23/02

Lisa A. Brzycki

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Art Unit: 2875

Rizkin, et al.

Examiner: Amarantides, John

Serial No.: 09/867,881

Docket No.: 300.004

Filed: May 30, 2001

Cust. No.: 32,123

For: MULTIPLE SOURCE COLLIMATED BEAM LUMINAIRE

TRANSMITTAL OF FEE TRANSMITTAL AND DRAWINGS

Assistant Commissioner for Patents  
Box ISSUE FEE  
Washington, D.C. 20231

Dear Sir:

In response to the April 23, 2002 Notice of Allowance and Fee Due, Applicants enclose form PTOL-85 and submit the enclosed drawings in response to the Examiner's objections outlined in the April 23, 2002 Notice of Allowability.

Respectfully submitted,

Lisa A. Brzycki  
Lisa A. Brzycki  
Registration Number 40,926

Dated: July 23, 2002  
GEHRKE & ASSOCIATES, S.C.  
123 North 86<sup>th</sup> Street  
Wauwatosa, WI 53226  
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7/23/02

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
AUGUST 14, 1985  
CHIEF COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20591

TYPE

JUL 31 2002

JUL 31 2002

20007 7590 04/23/2002  
NILLES & NILLES, SC → NILLES & NILLES, SC  
FIRSTAR CENTER, SUITE 2000  
777 EAST WISCONSIN AVENUE  
MILWAUKEE, WI 53202

NOTICE OF ALLOWANCE AND FEE(S) DUE

EXAMINER

AMARANTIDES, JOHN

ART UNIT

CLASS-SUBCLASS

2873

363-31600

DATE MAILED: 04/23/2002

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/867,881	05/30/2001	Alexander Riede	162734	7101

TITLE OF INVENTION: MULTIPLE SOURCE COLLIMATED BEAM LUMINAIRE

300.00

7101

07/23/2002

TOTAL CLAIMS	APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
13	nonprovisional	NO	\$1260	\$360	\$1530	07/23/2002

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1304.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:  
A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or  
B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status.  
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1999 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

31 2002  
U.S. PATENT & TRADEMARK OFFICE

## PART B - ISSUE TRANSMITTAL

Complete and mail this form, together with applicable fee(s), to:

Box ISSUE FEE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**MAILING INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All other correspondence including the Patent, trademark and assignment fees will be mailed to the current correspondence address as indicated below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for transmission fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Please type or print in block letters with no correction or use Block 1)

22007 7500 04/21/2002  
NILES & NILES, SC  
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727 EAST WISCONSIN AVENUE  
MILWAUKEE, WI 53202  
Cust. Service  
NO. 32,123

Lisa Brzycki  
Gehrke & Associates, S.C.  
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Note: The methods of mailing below can only be used for domestic mailings of the Party's Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

**Certification of Mailing:**  
I hereby certify that this Party's Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail to an address addressed to the Box Issue Fee address above on the date indicated below.

Lisa Brzycki	(Signer's name)
Lisa Brzycki	(Signer)
July 23, 2002	Date

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/867,881	05/30/2001	Alexander Niles	166-104	7101

TITLE OF INVENTION: MULTIPLE SOURCE COLLIMATED BEAM LUMINAIRE

→ 09/867,881 → 05/30/2001 → 300.004 7101 07/23/2002

TOTAL CLAIMS	APPLN TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE DUE	DATE DUE
13	nonprovisional	NO	\$1200	\$300	\$1500	07/23/2002
			640			

EXAMINER	ART UNIT	CLASS-SUBCLASS
AMARANTIDES, JOHN	2873	363-33100

Change of correspondence address or indication of "Fee Address" (37 CFR 1.33). Use of PTO form(s) and Customer Number are recommended, but not required.

3. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Change of correspondence address (or Change of Correspondence Address form PTO/SB/123) attached.

Gehrke & Associates, S.C.  
Lisa A. Brzycki

"Fee Address" Indication (or "Fee Address" Indication form PTO/SB/47) attached.

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is listed below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

## (A) NAME OF ASSIGNEE

## (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Farlight LLC

846 Watson Avenue, Unit A  
Wilmington, CA 90744Please check the appropriate assignee category or categories (will not be printed on the patent)  individual  corporation or other private group entity  government

4a. The following fee(s) are enclosed:

4b. Payment of fees:

 Issue Fee \$640 A check in the amount of the fee(s) is enclosed. Publication Fee \$300 Payment by credit card. Form PTO-2038 is enclosed. Advance Order - # of Copies 5 The Commissioner is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

The COMMISSIONER OF PATENTS AND TRADEMARKS is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid Issue Fee to the application identified above.

(Authorized Signature) REG. NO. 40,926 (Date)

Lisa Brzycki July 23, 2002 Customer Service # 32,123

NOTE: The Issue Fee and Publication Fee (if required) can not be deposited from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the record of the United States Patent and Trademark Office.

**IMPORTANT:** This form is submitted in accordance with 37 CFR 1.32. This will vary depending on the needs of the individual case. Any comments on the suitability of this approach to a given case should be sent to the Chief Information Officer, Directorate of Business Operations, United States Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231.

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